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JC04 FOR PCT/PTO 1 6 APR 2001CT

APR 1 6 2001 Practitioner's Docket No. <u>U 013220-5</u>

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application | of: | ARTHUR | SCHA | FFER. | et al |
|-------------------|-----|---------------|-------------|-------|-------|
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Application No.: 09/744,085 Group No.: Filed: MARCH 26, 2001 Examiner:

For: CONTROLLING STARCH SYNTHESIS

[] *Patent No.:

Issue Date:

*NOTE: Insert name(s) of inventor(s) and title also for patent. Where submission is with respect to a maintenance fee payment, also insert application number and filing date, and mark Form Box M. Fee.

Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF STATEMENT(S) TO ESTABLISH SMALL ENTITY STATUS

The attached statement is being submitted to establish small entity status in this [X] application,
[] patent,

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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Date: April 11, 2001

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Julian H. Cohen

(type or print name of person certifying)

(Transmittal of Statement(s) to Establish Small Entity Status-page 1 of 2) 7-11

by the:

(check all applicable boxes below)

| a. | [] independent inventor(s) | 37 CFR 1.9(c) and 1.27(b) |
|--------|----------------------------|-------------------------------------|
| b. | [] non-inventor supporting | |
| | claim by another | 37 CFR 1.9(c) and 1.27(b) |
| c. | [X]small business concern | 37 CFR 1.9(d) and 1.27(c) |
| đ. | nonprofit organization | 37/CFR 1/9(e) and $1.27(d)$ |
| • | [] | (Att al |
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